

Receipt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: CHAOXIN C. QIU ET AL.

Filed: OCTOBER 3, 2001

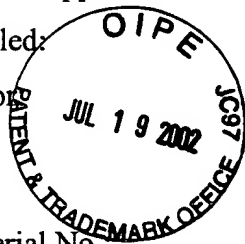
For: SYSTEMS AND METHODS FOR RESOURCE
MANAGEMENT IN INFORMATION STORAGE
ENVIRONMENTS

Serial No.: 09/970,457

Group Art Unit: 2151

Examiner: UNKNOWN

Atty Docket No.: SURG:160



COPY OF PAPERS
ORIGINALLY FILED

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AUG 15 2002

Technology Center 2100

Pursuant to 37 C.F.R. 1.8, I certify that this correspondence is being deposited with the U.S. Postal Service in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date below:

7-12-02
Date

Marty Brou
Name

Assistant Commissioner For Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

A corrected filing receipt is hereby requested in view of the error which appears in the original.

In the Domestic Priority Data, please make the following corrections:

After "THIS APPLICATION IS A CON OF 09/947,869 09/06/2001", please insert:

--WHICH IS A CIP OF 09/879,810 06/12/2001--

After "AND CLAIMS BENEFIT OF 60/291,073 05/15/2001", please insert:

--THIS APPLICATION IS ALSO A CIP OF 09/797,198 03/01/2001
THIS APPLICATION IS ALSO A CIP OF 09/797,201 03/01/2001--

After "AND CLAIMS BENEFIT OF 60/246,359 11/07/2000", please insert:

--THIS APPLICATION IS ALSO A CIP OF 09/797,200 03/01/2001--

Please replace "AND" with --WHICH-- before "CLAIMS BENEFIT OF
60/187,211 03/03/2000"

Please replace "AND" with --THIS APPLICATION ALSO-- before "CLAIMS
BENEFIT OF 60/246,401 11/07/2000"

A copy of the original filing receipt is attached, with the requested change(s)
indicated in red.

A copy of a preliminary amendment filed in the above-captioned application has
been enclosed herewith.

No fee is believed to be due in connection with the filing of this document.
However, should any fee under 37 C.F.R. §§1.16 to 1.21 be deemed necessary for any
reason relating to this document, the commissioner is hereby authorized to deduct said
fee from Deposit Account 10-1205.

Please date stamp and return the enclosed postcard evidencing receipt of these materials.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "William W. Enders", written in a cursive style.

William W. Enders
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/970,457	10/03/2001	2151	732	SURG:160	8	53	3

CONFIRMATION NO. 3305

CORRECTED FILING RECEIPT



OC000000007717671

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Date Mailed: 03/26/2002

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Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Chaoxin C. Qiu, Austin, TX;
Umesh Gupta, Austin, TX;
Scott C. Johnson, Round Rock, TX;
Sarma Kolavasi, Austin, TX;
Theodore S. Webb, Austin, TX;
Richard W. Yu, Cedar Park, TX;
Mark J. Conrad, Colorado Springs, CO;

Assignment For Published Patent Application

SURGIENT NETWORKS, INC.;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/947,869 09/06/2001
WHICH CLAIMS BENEFIT OF 60/285,211 04/20/2001
AND CLAIMS BENEFIT OF 60/291,073 05/15/2001
AND CLAIMS BENEFIT OF 60/246,445 11/07/2000
AND CLAIMS BENEFIT OF 60/246,359 11/07/2000
AND CLAIMS BENEFIT OF 60/187,211 03/03/2000
AND CLAIMS BENEFIT OF 60/246,401 11/07/2000Which is A CIP OF
09/879,810 06/12/2001THIS APPLICATION IS ALSO A
CIP OF 09/797,108 03/01/2001
THIS APPLICATION IS ALSO A
CIP OF 09/797,201 03/01/2001THIS APPLICATION IS ALSO A CIP
OF 09/797,200 03/01/2001

Foreign Applications

If Required, Foreign Filing License Granted 11/01/2001

Projected Publication Date: 05/16/2002

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****



COPY OF PAPERS
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Title

Systems and methods for resource management in information storage environments

Preliminary Class

137

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**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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Bib Data Sheet

FILE COPY

CONFIRMATION NO. 3305

SERIAL NUMBER 09/970,457	FILING DATE 10/03/2001 RULE	CLASS 137	GROUP ART UNIT 2151	ATTORNEY DOCKET NO. SURG:160
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Mark J. Conrad, Colorado Springs, CO;

**** CONTINUING DATA *******

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**** FOREIGN APPLICATIONS *******

IF REQUIRED, FOREIGN FILING LICENSE GRANTED.
** 11/01/2001 ** SMALL ENTITY **

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY TX	SHEETS DRAWING 8	TOTAL CLAIMS 53	INDEPENDENT CLAIMS 3
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged Examiner's Signature _____ Initials _____				

ADDRESS

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TITLE

Systems and methods for resource management in information storage environments

☐ All Fees

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732

FEES: Authority has been given in Paper
No. _____ to charge/credit DEPOSIT ACCOUNT
No. _____ for following:

☐ 1.16 Fees (Filing)

☐ 1.17 Fees (Processing Ext. of
time)

☐ 1.18 Fees (Issue)

☐ Other _____

☐ Credit